

9p1616 *EW*

Approved for use through 07/31/2006. OMB 0651-0031  
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## TRANSMITTAL FORM

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Total Number of Pages in This Submission

|                        |                        |
|------------------------|------------------------|
| Application Number     | 09/932,435             |
| Filing Date            | 17 August 2001         |
| First Named Inventor   | CAO, Hongjie et al.    |
| Art Unit               | 1616                   |
| Examiner Name          | GOLLAMUDI, Sharmila S. |
| Attorney Docket Number | 1942.PC                |

### ENCLOSURES (Check all that apply)

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| <input type="checkbox"/> Fee Transmittal Form                             | <input type="checkbox"/> Drawing(s)                                       | <input type="checkbox"/> After Allowance Communication to TC                            |
| <input type="checkbox"/> Fee Attached                                     | <input type="checkbox"/> Licensing-related Papers                         | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences     |
| <input type="checkbox"/> Amendment/Reply                                  | <input type="checkbox"/> Petition   | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final                                      | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declaration(s)                        | <input type="checkbox"/> Power of Attorney, Revocation                    | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Extension of Time Request                        | <input type="checkbox"/> Change of Correspondence Address                 | <input type="checkbox"/> Other Enclosure(s) (please identify below):                    |
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| <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 |   |   |

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|              |                              |          |        |
|--------------|------------------------------|----------|--------|
| Firm Name    | National Starch and Chemical |          |        |
| Signature    |                              |          |        |
| Printed name | David P. LeCroy              |          |        |
| Date         | 3 Oct. 2005                  | Reg. No. | 37,869 |

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**PATENT APPLICATION**  
Attorney Docket No. 1942.PC

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**APPLICANTS:** CAO, Hongjie *et al.*

**SERIAL NO.:** 09/932 435

**GROUP ART UNIT:** 1616

**FILED:** 17 August 2001

**EXAMINER:** GOLLAMUDI, Sharmila S.

**ENTITLED:** USE OF XANTHAN GUM AS A HAIR FIXATIVE

**CERTIFICATE of MAILING UNDER 37 C.F.R. § 1.8**

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Anne Marie Pickel

**Commissioner for Patents**  
**Post Office Box 1450**  
**Alexandria, Virginia 22313-1450**

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

In compliance with 37 C.F.R. §§ 1.97 and 1.98, and in fulfillment of the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that the references listed on the accompanying form PTO-1449 be made of record and considered with respect to the above referenced United States patent application. A copy of each reference is enclosed.

The references were cited in the French Search Report ('FR SR') of the corresponding French Patent Application. A copy of the FR SR is also enclosed. Each item contained herein was cited in the FR SR not more than three (3) months prior to the filing of this information disclosure statement.

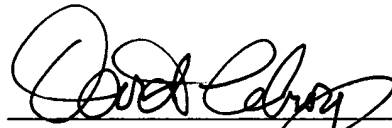
This Information Disclosure Statement is being filed after a first action on the merits.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or an admission that the information is, or is considered to be, material to patentability, or that the information is analogous to the subject matter of the present invention, or that no other material information exists. Further, the filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. Written notification that the enclosed references have been considered in their entirety by return of a copy of the enclosed PTO-1449 form, completed by the Examiner, is respectfully requested.

Respectfully submitted,

Dated: 30 Sept. 2005

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PTO/SB/08A (10-01)

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| <b>Substitute for Form PTO-1449A/PTO</b><br><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b><br>(use as many sheets as necessary)<br><br>Sheet 1 of 1  |                       | <b>Attorney Docket Number: 1942.PC</b><br><br><b>EXAMINER NAME: Gollamudi, Sharmila S.</b><br><br><b>First Named Inventor: CAO, Hongjie et al.</b><br><br><b>Filing Date: 17 August 2001</b> |                         | <b>Application No.: 09/932,435</b><br><br><b>Group Art: 1616</b> |       |
| <b>U.S. PATENT DOCUMENTS</b>   |                       |  |                         |  |       |
| *Examiner Initials   | Cite No. <sup>1</sup> | Document Number<br>Number – Kind Code <sup>2</sup> (if known)  | Pub. Date<br>MM-DD-YYYY | Name of Patentee<br>or Applicant of<br>Cited Document            | Pages |
|  |                       | US- 5,198,469  | 03/30/93                | Sakata   |       |
|  |                       | US-  |                         |  |       |
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|  |                       | US-  |                         |  |       |
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| <b>FOREIGN PATENT DOCUMENTS</b>  |                       |  |                         |  |       |
| *Examiner Initials   | Cite No. <sup>1</sup> | Foreign Patent Document<br>Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)   | Pub. Date<br>MM-DD-YYYY | Name of Patentee<br>or Applicant of<br>Cited Document            | Pages |
|  |                       | EP 0 321 216   | 21.06.89                | Stauffer et al.  |       |
|  |                       | EP 0 823 252   | 11.02.98                | Rechelbacher et al.  |       |
|  |                       | WO 99/15135  | 01.04.99                | Fox et al.   |       |
|  |                       | JP 07-061910   | 07.03.95                | Ito et al.   |       |
|  |                       | JP 63-150215   | 22.06.88                | Akimoto et al.   |       |
| <b>EXAMINER SIGNATURE</b>  |                       |  | <b>DATE CONSIDERED</b>  |  |       |
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